

# AFMA Membership

## Enquiry Form



### Organisation Details

Name of organisation: \_\_\_\_\_

ABN: \_\_\_\_\_ AFSL: \_\_\_\_\_

Address: \_\_\_\_\_

Main telephone: \_\_\_\_\_ Web address: \_\_\_\_\_

Type of Entity ( <i>indicate</i> )	Ownership	Governance
<input type="checkbox"/> ASX listed company	Attach names of significant shareholders (+20% of shares)	Attach names of Directors & CEO
<input type="checkbox"/> Proprietary company	Attach names of significant shareholders (+20% of shares)	Attach names of Directors & CEO
<input type="checkbox"/> Partnership		Managing Partner(s)
<input type="checkbox"/> Branch	Parent:	Local CEO:
<input type="checkbox"/> Subsidiary	Parent:	Local CEO:
<input type="checkbox"/> Joint Venture	Attach names of Joint Venture Partners	CEO:
<input type="checkbox"/> Registrable Superannuation Entity		CEO:

*\*If you require more space, please feel free to attach supporting document*

### Business Activity

Does your organisation engage in any of the business activities listed below:

- Corporate Banking & Advice  Yes  No
- Foreign Exchange (*Spot, Forwards, Swaps, Options*)  Yes  No
- Interest Rates (*Bank Bills, Bonds, OIS, IRS, CDS, IRO, Futures*)  Yes  No
- Equities (*Cash, Derivatives, Warrants, CFDs*)  Yes  No
- Commodities (*Energy, Metals, Softs*)  Yes  No
- Carbon  Yes  No
- Capital Markets (*Equity, Debt*)  Yes  No
- Corporate Advisory (*Mergers & Acquisitions*)  Yes  No
- Custody (*Sub, Global, Master*)  Yes  No
- Asset Management  Yes  No

How many staff are engaged in the business activities listed above: \_\_\_\_\_  
(including all front office, middle office and operational staff)

Please return this form to the AFMA Membership Team via [membership@afma.com.au](mailto:membership@afma.com.au)

Australian Financial Markets Association

ABN 69 793 968 987

Level 18, 45 Clarence Street, Sydney NSW 2000 | GPO Box 3655, Sydney NSW 2001 | Tel: +612 9776 7900 | Web: [www.afma.com.au](http://www.afma.com.au)